

Exercise 1 Recall that the exponential map of matrix groups is given by

$$\exp(A) = \sum_{k=0}^{\infty} \frac{A^k}{k!}$$

Consider  $B = \begin{pmatrix} -2 & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}$  and suppose  $\exists A \in \mathfrak{sp}(2) = \mathfrak{sl}(2) : \exp(A) = B$ .

$$B = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

Since  $B \in \mathfrak{sp}(2) = \mathfrak{sl}(2)$ ,  $\text{tr}(B) = 0 \Rightarrow d = -a$ .

A small computation shows that  $B^{2k} = (a^2 + bc)^k I \quad \forall k \geq 0$ .

$$\Rightarrow \exp(B) = \sum_{k=0}^{\infty} \frac{B^{2k}}{(2k)!} + \sum_{\ell=0}^{\infty} \frac{B^{2\ell+1}}{(2\ell+1)!}$$

$$= \left( \sum_{k=0}^{\infty} \frac{(a^2+bc)^k}{(2k)!} \right) I + \left( \sum_{\ell=0}^{\infty} \frac{(a^2+bc)^\ell}{(2\ell+1)!} \right) B$$

$$= \begin{pmatrix} \sum_{k=0}^{\infty} \frac{(a^2+bc)^k}{(2k)!} + a \sum_{\ell=0}^{\infty} \frac{(a^2+bc)^\ell}{(2\ell+1)!} & b \sum_{\ell=0}^{\infty} \frac{(a^2+bc)^\ell}{(2\ell+1)!} \\ c \sum_{\ell=0}^{\infty} \frac{(a^2+bc)^\ell}{(2\ell+1)!} & \sum_{k=0}^{\infty} \frac{(a^2+bc)^k}{(2k)!} - a \sum_{\ell=0}^{\infty} \frac{(a^2+bc)^\ell}{(2\ell+1)!} \end{pmatrix} = \begin{pmatrix} -2 & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}$$

If  $\sum_{\ell=0}^{\infty} \frac{(a^2+bc)^\ell}{(2\ell+1)!} = 0 \Rightarrow$  the entries (1,1) and (2,2) are equal, but they should be equal to  $-2, -\frac{1}{2}$  respectively.

$\Rightarrow b=c=0 \Rightarrow \exp(B) = \begin{pmatrix} e^a & 0 \\ 0 & e^{-a} \end{pmatrix}$ , which is <sup>also</sup> impossible, as  $e^a > 0$ .

Exercise 2 Write  $V = \sum_{\lambda \in \mathfrak{Sp}(A)} E_\lambda$ , where  $E_\lambda = \ker(A - \lambda I)$  is the eigenspace corresponding to the eigenvalue  $\lambda$ . Recall that for symplectic matrices,

$$\lambda \in \mathfrak{Sp}(A) \Rightarrow \frac{1}{\lambda} \in \mathfrak{Sp}(A).$$

Using this, it is easy to see that  $E_\lambda, E_{-1/\lambda}$  are even-dimensional (they might be 0).

Define  $V_\lambda := E_\lambda \oplus E_{1/\lambda}$  if  $\lambda \in \mathfrak{Sp}(A) \setminus \{\pm 1\}, |\lambda| \geq 1$ .

If  $e_1, \dots, e_m$  is a basis of eigenvectors, we have

$$\omega(e_i, e_j) = \omega(Ae_i, Ae_j) = \lambda_i \lambda_j \omega(e_i, e_j) = 1 \text{ either } \lambda_i \lambda_j = 1 \text{ or } \omega(e_i, e_j) = 0$$

Thus, we get that  $V_\lambda^\omega = \bigoplus_{\substack{\lambda' \neq \lambda \\ \lambda' \neq \pm 1 \\ \lambda' \in \text{Sp}(A)}} V_{\lambda'}$ . Indeed, the inclusion ( $\supseteq$ ) is clear by

what we have just shown and dimension counting gives equality.

$\rightarrow A$  splits as a direct sum of symplectic maps  $A_\lambda: V_\lambda \rightarrow V_\lambda$ .

$\rightarrow$  we can find a symplectic basis for each  $V_\lambda \rightarrow$  we can symplectically get  $A$  into block form.

$\rightarrow$  the only thing left to do is to <sup>symplectically</sup> diagonalize each  $A_\lambda$ .

If  $\lambda = \pm 1$ ,  $A_{\pm 1} = \pm I$   $\leftarrow$  it is already diagonal.

Suppose  $\lambda \neq \pm 1$  and let  $v \in E_\lambda$ . By nondegeneracy of  $A_\lambda$ ,  $\exists \tilde{v} \in E_{\frac{1}{\lambda}}$  such that

$\omega(v, \tilde{v}) = 1$ . Write  $V_\lambda = \langle v, \tilde{v} \rangle \oplus W$ . Then,  $W$  is symplectic and

$W = (E_\lambda \cap W^\omega) \oplus (E_{\frac{1}{\lambda}} \cap W^\omega)$ . We can keep going by recursion.

Exercise 3. (i)  $\omega_0 = dx_{2n+1} + \sum_{i=1}^n x_i dx_{2i+1} \Rightarrow d\omega_0 = \sum_{i=1}^n dx_i \wedge dx_{2i+1}$ .

$\Rightarrow \omega_0 \wedge (d\omega_0)^n = n! dx_1 \wedge dx_{2n+1} \wedge \dots \wedge dx_n \wedge dx_{2n+1} \wedge dx_{2n+1}$

(ii) Let  $\lambda: M \rightarrow \mathbb{R}$  be a nonvanishing function. Then we compute

$d(\lambda\alpha) = d\lambda \wedge \alpha + \lambda d\alpha$

$\Rightarrow \lambda\alpha \wedge (d(\lambda\alpha))^n = \lambda\alpha \wedge \lambda^n d\alpha^n = \lambda^{n+1} \alpha \wedge (d\alpha)^n$ , which is a volume form

$\Rightarrow \alpha \wedge (d\alpha)^n$  is.

(iii) Suppose  $d\alpha|_{\xi \in \ker \alpha}$  is degenerate at some point  $p \in M$ .

$\Rightarrow \exists v \in \xi_p : d\alpha(v, w) = 0 \quad \forall w \in \xi_p$ .

Extend  $v$  to a basis  $v, u_2, \dots, u_{2n}, \tilde{v}$  of  $T_p M$ , where  $u_2, \dots, u_{2n} \in \xi_p$ .

Then, we get  $(\alpha \wedge (d\alpha)^n)(v, u_2, \dots, u_{2n}, \tilde{v}) = 0$ , contradiction.

(iv) The existence of a degenerate direction follows from linear algebra. The nondegenerate part is always even-dimensional, but here  $M$  is  $(2n+1)$ -dimensional.

$\Rightarrow \exists$  a nonvanishing section such that  $\alpha \wedge d\alpha = 0$ .

By what we have said,  $\alpha \wedge d\alpha \neq 0 \Rightarrow \alpha \wedge d\alpha \neq 0$ .

Define  $\Omega := \frac{\alpha \wedge d\alpha}{\alpha \wedge d\alpha}$

Exercise 4

(i) In general, given a <sup>skew-symmetric</sup> 2-form  $\beta$  on a vector space  $V$ , there is a canonical form. Let  $U = \{v \in V \mid \beta(v, \cdot) = 0\} \Rightarrow$  there is a basis of  $V$  which looks like  $u_1, \dots, u_k, v_1, \dots, v_k, w_1, \dots, w_k$ , where  $u_1, \dots, u_k$  is a basis of  $U$  and

$\begin{cases} \beta(v_i, v_j) = \beta(w_i, w_j) = 0 \\ \beta(v_i, w_j) = \delta_{ij} \end{cases}$

Now,  $d\alpha|_0 : (T_0 \mathbb{R}^{2n+1})^2 \rightarrow \mathbb{R}$  is a skew-symmetric ~~form~~ 2-form,

nondegenerate ~~at~~  $\ker \alpha_0 \subseteq T_0 \mathbb{R}^{2n+1}$ . Apply the above normal form to  $d\alpha_0$

and declare the new coordinates to be the axes w.r.t. the basis given by the normal form. Then above.



$$\Rightarrow d\alpha = \sum_{j=1}^n dx_j \wedge dy_j$$

The ~~kernel~~ kernel of  $d\alpha$  (at  $o \in \mathbb{R}^{2n+1}$ ) is 1-dimensional and does not lie in  $\ker \alpha_o$ .  $\Rightarrow$  we can normalize the remaining coordinate to get  $\alpha_o(\partial_z) = 1$ .

(ii)  $\alpha_t = (1-t)\alpha_o + t\alpha$ .

Note that at  $o \in \mathbb{R}^{2n+1}$

$$\left. \begin{aligned} d\alpha_t &= d\alpha_o \\ \alpha_t &= \alpha_o. \end{aligned} \right\}$$

$\Rightarrow$  we can choose a small enough neighborhood of  $o$ ,  $\alpha_t$  is contact for all  $t \in [0, 1]$ .

(iii) Want: find isotopy <sup>$\gamma_t$</sup>  of neighborhood of  $o$  such that  $\gamma_t^* \alpha_t = \alpha_o$ .

$$0 = \frac{d}{dt} (\gamma_t^* \alpha_t) = \gamma_t^* (\mathcal{L}_{X_t} \alpha_t + \dot{\alpha}_t)$$

$\Rightarrow$  want to solve  $\mathcal{L}_{X_t} \alpha_t + \dot{\alpha}_t = 0$ .

Write  $X_t = H_t \partial_t + Y_t$ , where  $\partial_t =$  Reeb vector field of  $\alpha_t$   
 $\left\{ \begin{aligned} Y_t &\in \xi_t = \ker \alpha_t. \end{aligned} \right.$

$$\mathcal{L}_{X_t} \alpha_t = i_{X_t} d\alpha_t + d(\alpha_t(X_t)) = i_{Y_t} d\alpha_t + dH_t$$

$\Rightarrow$  we have to solve  $i_{Y_t} d\alpha_t + dH_t + \dot{\alpha}_t = 0$ . (\*)

Insert  $\partial_t \Rightarrow dH_t(\partial_t) = -\dot{\alpha}_t(\partial_t)$ . Choose a small enough neighborhood of  $o \in \mathbb{R}^{2n+1}$

so that  $\partial_t$  has no closed orbits  $\Rightarrow$  can integrate the above equation to get a smooth family  $H_t$ . Since  $\dot{\alpha}_t(o) = 0$  at  $o \in \mathbb{R}^{2n+1}$ , we may require

$$\left\{ \begin{aligned} H_t(o) &= 0 \\ d_o H_t &= 0. \end{aligned} \right.$$

~~Independence~~ of Note that  $dH_t + \dot{\alpha}_t$  can be seen as an element of  $\xi_t^*$ .

$\rightarrow Y_t$  is uniquely determined by (\*) due to nondegeneracy of  $d\alpha_t$ .

Note that  $Y_t(o) = 0$   ~~$X_t(o)$~~  and hence  $X_t(o) = 0 \rightarrow$  the flow of  $X_t$  fixes the origin.  $\Rightarrow$  integrate  $X_t$  to conclude the proof.